

Appendix A

Powys County Council					
Internal Audit Plan 2018/19					
<u>CORPORATE PRIORITIES</u>	<u>Service Department</u>	<u>Category/ Sub Service</u>	<u>Status</u>	<u>ASSIGNMENT</u>	<u>PLAN DAYS</u>
<i>Services Delivered for Less</i>					60
	Finance		New	Projects Benefits Realisation	
	Adult Social Care	Supporting Adults	Carry Forward	Social Care- Financial Recovery Plan	
	Fraud	Financial Transactions	New	National Fraud Initiative	
<i>Supporting People in the Community</i>					168
	Adult Social Care	Contracting	Carry Forward	Contractor Payment Monitoring	
	Children's & Family	Looked after Children	Carry Forward	Looked After Children - Leaving Care	
	Adult Social Care	Grant Certification	New	Supporting People Grant	
	Housing	Grant Certification	New	Travellers Grant	
	Housing	Housing	New	Housing Rent System	
	Housing	Maintenance of Council Properties	New	Housing Repairs and Maintenance (HOWPS)	
	Children's & Family	Grant Certification	New	Youth and Community Grant	
	Adults	Contracting	New	Brokerage	
	Adults	Supporting Disabilities	New	Direct Payments	
	Adults	Monitoring	New	Safer Recruitment	

Appendix A

<i>Developing the Economy</i>					28
	Management	Partnerships	New	Partnership and Collaboration-Follow up	
	Procurement	Contracting	New	Supply Chains- Local Economy	
<i>Improving Learner Outcomes</i>					144
	Education and skills	Secondary Schools	Carry Forward	Llandrindod School Budgetary Control Review	
	Education and skills	Secondary Schools	New	High Schools Establishment	
	Education and skills	Primary Schools	Carry Forward	Primary School x 3	
	Education and skills	Primary Schools	New	Primary Schools Establishment	
	Education and skills	Management of Schools	New	School Theme - Property & Health and Safety	
	Education and skills	Management of Schools	New	School Theme - Financial Administration	
	Education and skills	Management of Schools	New	School Theme - Voluntary Funds	
	Education and skills	Grant Certification	New	Welsh Government Grants (EIG/PDG) Q1	
	Education and skills	Grant Certification	New	Welsh Government Grants (EIG/PDG) Q2	
	Education and skills	Grant Certification	New	Welsh Government Grants (EIG/PDG) Q3	
	Education and skills	Grant Certification	New	Welsh Government Grants (EIG/PDG) Q4	

Appendix A

<u>HEALTHY ORGANISATION PRINCIPLES</u>	-	-	-		
<i>Corporate Governance</i>					101
Corporate Governance refers to the strategic management practices and values and beliefs by which the Council operates.	Healthy Organisation	Healthy Organisation	New	Health Organisation Review	
	Management	Follow-up	New	Follow up Audits	
	Information Management	Information Security Management	New	General Data Protection Regulations	
	Fraud	Strategic Management	New	Organised Crime Checklist	
<i>Financial Management</i>					128
Effective Financial Management is the bedrock of any successful organisation and is vital to the ongoing ability of local authorities to deliver services that the public wants.	Finance	Payroll	New	Payroll	
	Finance	Financial Transaction Management	New	Capital	
	Finance	Financial Transaction Management	New	Budgeting (CP)	
	Finance	Financial Transaction Management	New	Main Accounting	
	Education and skills	Cash Handling	New	Cashless Systems - Schools	
	Finance	Financial Transaction Management	New	Income Generation	
	Finance	Payroll	New	Travel Expenses	
	Finance	Payroll	New	Members Expenses	

Appendix A

<i>Risk Management</i>					6
Organisations which can demonstrate and operate under a structured and active risk management approach, are far more likely to be able to focus upon their key priorities and outcomes and, in doing so, take informed and robust decisions.	Risk Management	Strategy and Governance	Carry Forward/ Follow up	Risk Management	
<i>Performance Management</i>					18
Performance management provides a transparent platform upon which the service is accountable to its citizens and service users for the effectiveness of its service provision and delivery of its objectives.	Management	Quality and Performance	Carry Forward	Performance Management	
<i>Commissioning and Procurement</i>					72
Assessing Procurement & Commissioning activity of a Local Authority is a critical determinant in establishing its effectiveness in both being able to deliver benefit for its community, but also in showing whether it can maximise value for money for its taxpayers.	Procurement	Procurement	New	Commercial Services	
	Procurement	Commissioning	New	Commissioning - Options Appraisal/Decommissioning	
	Procurement	Partnerships	Carry Forward	Section 33	
	Procurement	Contracting	New	HOWPS Contract Management	
	Procurement	Contracting	New	Freedom Leisure Contract Management	
<i>Information Management</i>					77
Effective Information Management will facilitate and support effective working, better decision-making, improved customer service and business transformation	Information & Communication	Threat and Vulnerability management	Carry Forward	End Point Security	

Appendix A

	Information & Communication	Threat and Vulnerability management	New	Change Control	
	Information & Communication	ICT Service Management	New	Software Licensing	
	Information & Communication	ICT Service Delivery	New	ICT Procurement	
	Information & Communication	Strategy and Governance	New	Crisis Management	
Programme and Project Management					20
Organisations which can demonstrate and operate under a structured and active approach are far more likely to be able to focus their efforts and successfully achieve the delivery of anticipated outcomes.					
	Management	Project Management	New	Project Governance Arrangements	
People and Asset Management					73
Organisations which can demonstrate and operate under a structured and active approach to asset management are far more likely to be able to focus any available investment against key priorities and, as a direct result, deliver improved outcomes.	Human Resources	Administering Employees	New	Voluntary Severance	
	Human Resources	Workforce Planning	New	Workforce Planning	
	Human Resources	Administering Employees	Carry Forward	Sickness Management	
	Human Resources	Monitoring	New	Corporate Health & Safety	
	Human Resources	Training	New	Role of the Powys Manager	

Appendix A

Other					105
	Client Support	Corporate Advice		Service Support and Advice	
	Client Support	Corporate Advice		Corporate Advice and Planning	
	Client Support	Corporate Advice		Liaison with other Regulatory Bodies	
	Client Support	Committee Reporting / Attendance and other Corporate meetings		Committee and Sub Committee Reporting	
	Client Support	Committee Reporting / Attendance and other Corporate meetings		Management Teams Liaison	
				Total Days	1000